

# Alibaba.com Certified Supplier



## Production Assessment Report

*Presented to*

**Shanghai Yu Heng Metal Arts Co., Ltd.**

上海昱恒工艺品有限公司

Gold Supplier & Assessed Company Relationship:	<input checked="" type="checkbox"/> Wholly Owned <input type="checkbox"/> Shareholder/Partner <input type="checkbox"/> Capacity Contract
Company Address:	No. 1999 Husong Road, Jiuting Town, Songjiang District, Shanghai City, China
City / Country:	Shanghai, China
Consigner of Assessment:	Alibaba
Gold Supplier Member ID:	cnyuheng
Gold Supplier Company Name:	Shanghai Yu Heng Metal Arts Co., Ltd.
Contact Person:	Ms. Sonia Li
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*Service provided by Bureau Veritas Certification*

*Report No.: 201010180001884\_1-P*





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Date of Assessment:	15/Dec./2010	Report Date:	15/Dec./2010
Assessor's Name:	Ken He	Validity Period:	16/Dec./2010 -- 15/Dec./2011
Reviewed By:	Shuibing Chen	Online Verification:	www.bv-certification.com.cn

**Important Notes:**

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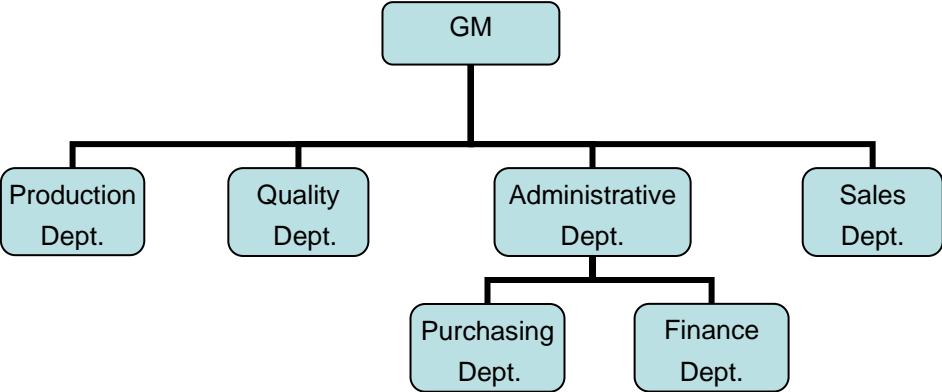
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## Section 1: Company Overview

Company Overview			
<b>1.1 Legal Validity</b>			
Does the company have a valid business license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Business License Number:	3102272086584
Year Established:	02/Aug./2005	Validity Period:	02/Aug./2005--01/Aug./2015
Registered Address:	No. 2 Building, No. 168 Songjing Road, Chedun Town, Songjiang District, Shanghai City, China		
Company Address:	No. 1999 Husong Road, Jiuting Town, Songjiang District, Shanghai City, China		
Annual review conducted by the Industrial & Commercial Bureau?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Reviewed By:	Shanghai Industrial & Commercial Bureau, Songjiang Branch
Registered Capital:	RMB 500,000.00		
Corporate Representative:	Ms. Hui Li		
Industry:	Jewelry and Related Articles		
Business Type:	<input checked="" type="checkbox"/> Manufacturer <input type="checkbox"/> Trading Company <input type="checkbox"/> Combined		
Type of Ownership:	<input checked="" type="checkbox"/> Private Owner <input type="checkbox"/> Public Company <input type="checkbox"/> Joint Venture <input type="checkbox"/> Stated Owned <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other		
Products Manufactured:	Cufflinks		
<b>1.2 Company Building Information</b>			
Certification Type: <input type="checkbox"/> Land Certification <input type="checkbox"/> Real Estate Certification <input checked="" type="checkbox"/> Lease Agreement <input type="checkbox"/> Factory Officer Claimed			
Total Building Size: <u>792 m<sup>2</sup></u>			
Number of Building(s) : <u>1</u>			

## Section 2: Human Resources

Human Resources				
2.1 Company Chart				
 <pre> graph TD     GM[GM] --&gt; Production[Production Dept.]     GM --&gt; Quality[Quality Dept.]     GM --&gt; Admin[Administrative Dept.]     GM --&gt; Sales[Sales Dept.]     Admin --&gt; Purchasing[Purchasing Dept.]     Admin --&gt; Finance[Finance Dept.]             </pre>				
2.2 Employee Headcount				
Department Name	Full Time Employee(s)	Part-Time Employee(s)	Total	
GM	1	0	1	
Finance Dept.	0.5	1	1.5	
Production Dept.	16.5	0	16.5	
Quality Dept.	1.5	0	1.5	
Administrative Dept.	2.5	0	2.5	
Purchasing Dept.	0.5	0	0.5	
Sales Dept.	2.5	0	2.5	
<b>Total Number:</b>	25	1	26	
2.3 Management				
Department	Name	Title	Education	Year(s) in Current Company
GM	Mr. Yifeng Li	GM	Junior College Graduate	5
Finance Dept.	Ms. Yun Zhou	Dept. Manager	Junior College Graduate	3.5
Production Dept.	Ms. Yun Zhou	Dept. Manager	Junior College Graduate	3.5
Quality Dept.	Ms. Yun Zhou	Dept. Manager	Junior College Graduate	3.5
Administrative Dept.	Ms. Hui Li	Dept. Manager	University Graduate	5
Purchasing Dept.	Ms. Hui Li	Dept. Manager	University Graduate	5
Sales Dept.	Ms. Hui Li	Dept. Manager	University Graduate	5

## Section 3: Production Capacity

Production Capacity				
<b>3.1 Annual Production Capacity (Previous Year)</b>				
Cufflinks 420,000 Pieces				
<b>3.2 Production Capacity</b>				
Product Name	Production Line Capacity	Actual Units Produced (Previous Year)		
Cufflinks	1,000,000 Pieces / Year	420,000 Pieces		
<b>3.3 Production Machinery</b>				
Machine Name	Brand & Model No.	Quantity	Number of Year(s) Used	Condition
Vertical Drilling Machine	Z4113	1	5	Acceptable
Vertical Drilling Machine	N/A	1	5	Acceptable
Cutting Machine	S-360	1	5	Acceptable
Grinding Machine	N/A	1	3	Acceptable
Punching Machine	160 Tons	1	3	Acceptable
Punching Machine	6 Tons	3	5	Acceptable
Punching Machine	10 Tons	1	5	Acceptable
Punching Machine	16 Tons	1	5	Acceptable
Drying Oven	YLA-3	3	3	Acceptable
CH4 & O2 Welding Machine	N/A	3	5	Acceptable
Air Compressor	ZB-0.1/8	1	2	Acceptable
Air Compressor	N/A	1	5	Acceptable
Buffing Machine	N/A	5	5	Acceptable
<b>3.4 Testing Machinery</b>				
Machine Name	Brand & Model No.	Quantity	Number of Year(s) Used	Condition
Electronic Balance	ACS-30 KG	1	5	Acceptable
Electronic Balance	TCS-SAE-100	1	2	Acceptable
Digital Balance	XK3190-A12	1	5	Acceptable
Balance	N/A	2	5	Acceptable
Vernier Caliper	0-150 mm	2	3	Acceptable
<b>3.5 Subcontractors</b>				
Subcontractor Name	Product Subcontracted	Volume Supplied	Cooperation Period (Years)	
Confidential	Confidential	Confidential	Confidential	

## Section 4: Quality Assurance

Quality Management System and Product Certification				
<b>4.1 Quality Management System Certification</b>				
Certification	Certified By	Certificate No.	Business Scope	Validity Period
N/A	N/A	N/A	N/A	N/A
<b>4.2 Product Certification</b>				
Certification	Certified By	Certificate No.	Product Name & Model No.	Validity Period
N/A	N/A	N/A	N/A	N/A
<b>4.3 Quality Control Management</b>				
	Content	Observations /Comments		
4.3.1	Is there quality control on all production lines?	<input type="checkbox"/> Yes, all production lines have adequate quality control <input checked="" type="checkbox"/> Yes, some production lines have adequate quality control <input type="checkbox"/> No		
4.3.2	Do the QA/QC inspectors work independently from the production line?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
4.3.3	Who does the QC/QA Manager /Supervisor report to?	Ms. Yun Zhou -- Production Dept. Manager		
4.3.4	How many QA/QC inspectors in total?	3		
No. of Employees in Each Production Line:				
Production Line	Supervisor	No. of Operators	No. of In-line QC/QA	
Molding Line	1	5	0	
Buffing Line	1	3	1(Also is the worker)	
Coloring Line	1	3	1(Also is the worker)	
Checking & Packing Line	0	2	1(Also is the worker)	
<b>4.4 Supplier Management</b>				
	Content	Observations /Comments		
4.4.1	Does the company have an supplier assessment procedure?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
4.4.2	Does the company have an updated list of approved suppliers?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

4.4.3	Does the company establish and implement a standard procedure for purchasing contract review and approval?	<input type="checkbox"/> Yes, with written standard procedures <input checked="" type="checkbox"/> Yes, with written procedure but lack of consistent standard <input type="checkbox"/> No
4.4.4	Does the company keep its Supplier Assessment Reports?	<input type="checkbox"/> Yes, Assessment Reports are available for more than 3 years <input type="checkbox"/> Yes, Assessment Reports are available for the last 1-3 years <input type="checkbox"/> Yes, Assessment Reports are available for the previous 12 months <input type="checkbox"/> No Confidential
4.4.5	Are the company's purchasing documents sufficient to ensure product safety control and their customers' requirements?	<input type="checkbox"/> Yes, the purchasing document includes all the information required <input checked="" type="checkbox"/> Yes, however the purchasing document includes incomplete information <input type="checkbox"/> No
4.4.6	Is there a procedure to conduct random product inspections after final packaging?	<input type="checkbox"/> Yes, with clear standard and written inspection records <input type="checkbox"/> Yes, with inspection records but no procedures <input type="checkbox"/> Yes, with procedures but no inspection records <input checked="" type="checkbox"/> No, inspections are not necessary

**4.5 After Sales Service**

	Content	Observations /Comments
4.5.1	Is customer feedback, including complaints, clearly recorded and maintained?	<input type="checkbox"/> Yes, with a standard feedback form and records <input type="checkbox"/> Yes, with a standard feedback form but no records <input checked="" type="checkbox"/> Yes, with records but no standard feedback form <input type="checkbox"/> No
4.5.2	Are there any clear procedures for handling customer complaints?	<input type="checkbox"/> Yes, with clear procedures and written records <input checked="" type="checkbox"/> Yes, with clear procedures but no written records <input type="checkbox"/> Yes, with written records but no clear procedures <input type="checkbox"/> No
4.5.3	Is there a closed-loop corrective action system in place?	<input type="checkbox"/> Yes <input type="checkbox"/> No Confidential
4.5.4	Can finished/packaged products be traced by lot identification to the appropriate raw material test reports?	<input type="checkbox"/> Yes, with procedures to trace raw materials <input type="checkbox"/> Yes, main raw material can be traced <input type="checkbox"/> No, only the production date can be traced <input type="checkbox"/> No Confidential
4.5.5	Is there a product alert and recall procedure?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No



## Section 5: Production Process Management

Section 5: Quality Control Management		
	Content	Observations /Comments
5.1	Is the factory tidy and clean enough for production?	<input type="checkbox"/> Yes, very good <input checked="" type="checkbox"/> Yes, acceptable <input type="checkbox"/> No, needs improvement <input type="checkbox"/> No, very poor
5.2	Are the following items /documents provided at the appropriate location?	<input checked="" type="checkbox"/> Work Instructions <input checked="" type="checkbox"/> Approved sample <input type="checkbox"/> Product picture <input type="checkbox"/> The above-mentioned was not available
5.3	Are written instructions available for onsite material inspection/testing? Are the relevant records maintained?	<input type="checkbox"/> Yes, with clear written instructions and records <input type="checkbox"/> Yes, with written instructions but no records <input checked="" type="checkbox"/> Yes, with records but no written instructions <input type="checkbox"/> No
5.4	Are written inspection/testing instructions available for finished products? Are the relevant records maintained?	<input type="checkbox"/> Yes, with clear written instructions and records <input type="checkbox"/> Yes, with written instructions but no records <input checked="" type="checkbox"/> Yes, with records but no written instructions <input type="checkbox"/> No
5.5	How are finished products inspected?	<input checked="" type="checkbox"/> 100% of products with detailed inspection <input type="checkbox"/> Random inspection <input type="checkbox"/> No inspection <input type="checkbox"/> No inspection necessary
5.6	Are units that failed inspection clearly marked and separated to prevent accidental dispatch?	<input type="checkbox"/> Yes, the units are separated and marked clearly <input checked="" type="checkbox"/> Yes, the units are separated but not marked clearly <input type="checkbox"/> No
5.7	How are finished products that failed inspection handled?	<input checked="" type="checkbox"/> Repaired and re-inspected <input checked="" type="checkbox"/> Thrown away <input type="checkbox"/> No inspection necessary


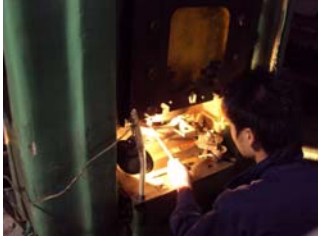






## Section 6: R & D Capacity

R&D Capacity				
6.1 Current Situation				
There is/are _____ 0 _____ R&D Engineer(s) in the company.				
Education Level	Headcount		Work Experience	Headcount
Doctorate	0		Over 30 Years	0
Post-Graduate	0		21-30 Years	0
Graduate	0		11-20 Years	0
Junior College	0		6-10 Years	0
Technical School	0		2-5 Years	0
High School	0		Less than 2 years	0
List of successful project(s) (Example: Patented products):		N/A		
Does the company have its own brand?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If yes, please specify:		N/A		
Does the company provide ODM service for others?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Are there relevant design input/output, review, and verification documents available for the assessment company?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Based on inspection, are R & D employees equipped with adequate specialized equipment?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If yes, please list all key equipment used:		N/A		
Do R& D employees use any specific software for designing new products?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If yes, please list the main software used:		N/A		
Please list all certifications and/or qualifications of the R & D department:		N/A		

## Section 7: Company Development / Expansion Plans

Company Development / Expansion Plans		
	Company Development Action	Timeframe
1	The organization plan to 10%--20% annual growth in sales	Within 3 years
2		
3		

## Section 8: Production Flow

Production Flow					
No	Production Process	No	Production Process	No	Production Process
1	 Melting	2	 Molding	3	 Punching
4	 Grinding	5	 Welding	6	 Coloring
7	 Drying	8	 Checking & Packing	9	
10		11		12	

## Section 9: Certification & Photos

### Certification

#### Certification & Photos -- Business License (Original)



#### Certification & Photos -- Business License (Duplicate) with Certificate / Records of Annual Inspection



#### Certification & Photos -- Tax Registration Certificate



#### Certification & Photos -- Permit for Opening Bank Account



#### Certification & Photos -- Organization Code Certificate

#### Certification & Photos -- Custom Clearance Registration Form



N/A

**Certification & Photos --  
Import and Export Enterprise Registration**

**Certification & Photos --  
Quality Management System Certificate**

N/A

N/A

## Section 10: Company and Product Samples

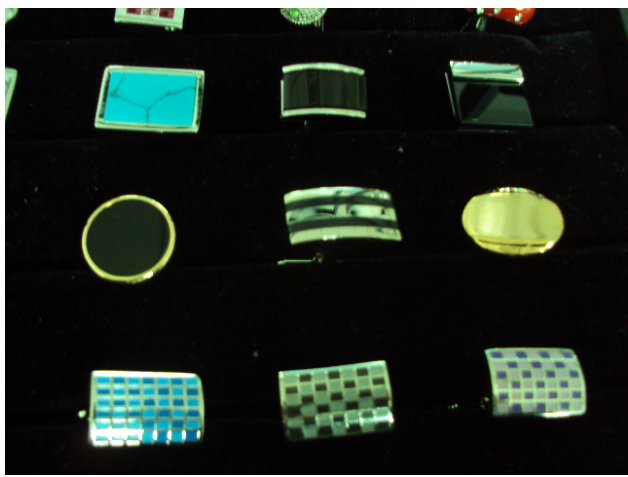
Company and Product Samples	
<b>Company Gate</b>	<b>Office</b>
	
<b>Workshop</b>	<b>Workshop</b>
	
<b>Product Sample</b>	<b>Product Sample</b>



Product Sample



Product Sample



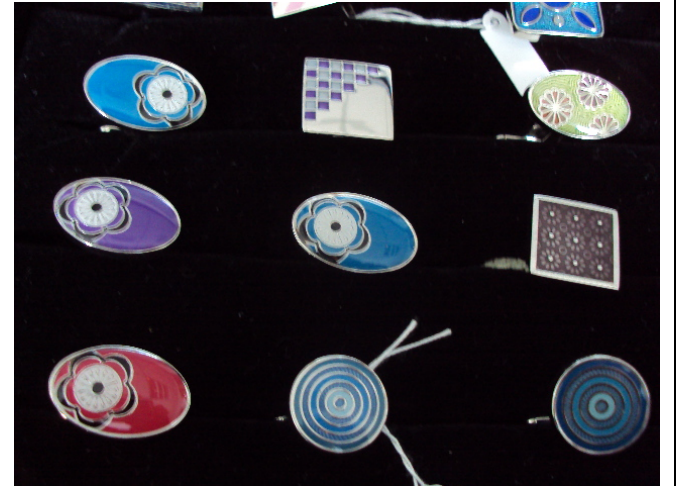
Product Sample



Product Sample

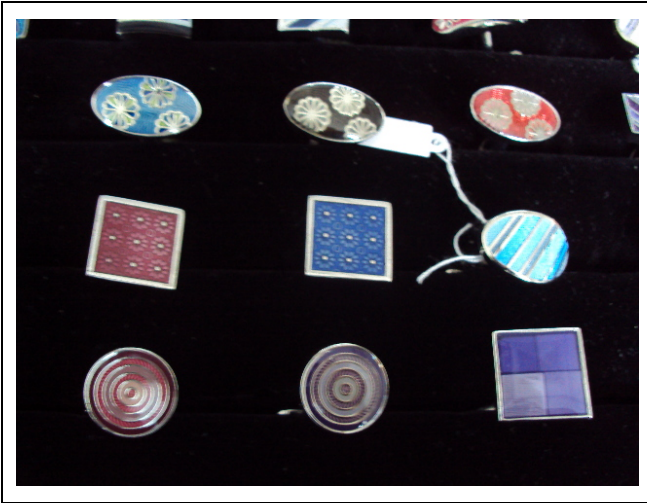


Product Sample



Product Sample





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